

-1-

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Angela T. Hui et al.

Serial No.: Not Yet Assigned

Filed: (herewith)

Group Art Unit: Not Yet Assigned

Title: AVOIDING FIELD OXIDE GOUGING IN SHALLOW TRENCH  
ISOLATION (STI) REGIONS

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the U.S. Patents and pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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184-P079US

In Place of FORM PTO-1449 (Modified)

Serial No.: Not Yet Assigned  
Applicants: Angela T. Hui et al.  
Filing Date: (herewith)  
Group: Not Yet Assigned  
Atty. Docket No.: AF01158

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
_____AAA	5,747,377	05/05/98	Wu	438	444	
_____ABA	6,033,969	03/07/00	Yoo et al.	438	425	
_____ACA	6,074,927	06/13/00	Kepler et al.	438	400	
_____ADA	6,146,975	11/14/00	Kuehne et al.	438	437	
_____AEA	6,218,265	04/17/01	Colpani	438	424	
_____AFA	6,410,405	06/25/02	Park	438	431	
_____AGA	6,468,853	10/22/02	Balasubramanian et al.	438	221	
_____AHA	6,548,374	04/15/03	Chung	438	424	
_____AIA	6,613,649	09/02/03	Lim et al.	438	435	
_____AJA						
_____AKA						
_____ALA						
_____AMA						
_____ANA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
_____AOA						
_____APA						
_____AQA						
_____ARA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner  
Initial

\_\_\_\_\_ASA  
\_\_\_\_\_ATA  
\_\_\_\_\_AUA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.